



“DNA of Successful Trading”

Course Syllabus

Apply at smbu.com/dna

Week One

October 20th-27th

Live SMB Meetings

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|----------|---|
| 4:15 PM | Orientation (Thursday, October 20th) |
| 8:50 AM | SMB AM Meeting with Steve Spencer (Every day) |
| 11:00 AM | Trader Development Meeting (Every day) |
| 1:30 PM | The PlayBook Checkup Meeting (Tuesdays and Thursdays) |
| 4:30PM | DNA Class Group Mentoring Session (Thursdays) |

On Demand Lessons

- Module 1: Stock Selection and Game Plan
 - Read: Finding Stocks Intraday for the Close
 - Watch: Finding Stocks for the Close
 - Read: Detailed Plan Before Every Trade
 - Watch: Morning Preparation
 - Read: Choosin Stocks
 - Watch: Finding Stocks with SMB Scanner
 - Read: Alerts
 - Watch: The Second Day
 - Read: Stock In Play Checklist
 - Watch: Stocks In Play Lecture
 - Read: Pre-Market Trading
 - Watch: SMB Radar Videos
 - Read: Proper Preparation
 - Watch: Stocks In Play by Steven Spencer
 - Read: Super Stocks In Play Lecture
 - Watch: Super Stocks in Play Video
- Module 2: The Seven Fundamentals of One Good Trade
 - Read: A trader's Day
 - Watch: One Good Trade by Mike B.
 - Read: One Good Trade
 - Watch: Mike at Indiana University
 - Read: Triggering Elite Performance
 - Watch: One Good Trade

Week Two

October 28th - November 3rd

Live SMB Meetings

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|----------|---|
| 8:50 AM | SMB AM Meeting with Steve Spencer (Every day) |
| 11:00 AM | Trader Development Meeting (Every day) |
| 1:30 PM | The PlayBook Checkup Meeting (Tuesdays and Thursdays) |
| 4:30PM | DNA Class Group Mentoring Session (Thursdays) |

On Demand Lessons

- Module 3: Risk Management
 - Read: When Your Stock Hits Your Exit Price
 - Read: Risk During a Conference Call
 - Watch: Reasons2sell
 - Read: Why Hold A Stock Against You
 - Read: Checks
 - Watch:Risk Management New
 - Read: Doubling Down
 - Read: [Recommended Reading] The PlayBook Chapter on Risk Management
 - Read: Your Real Risk
 - Read: Psychology of Sizing
- Module 4: The SMB PlayBook
 - Read:The PlayBook Lecture
 - Watch: The PlayBook Lecture Video
 - Read:The PlayBook Archive
 - Watch: The PlayBook Video Archive
 - Watch: Your PlayBook Setups--Make Them Yours
 - Watch: The Future of Prop Trading

Week Three

November 4th - 10th

Live SMB Meetings

| | |
|----------|---|
| 8:50 AM | SMB AM Meeting with Steve Spencer (Every day) |
| 11:00 AM | Trader Development Meeting (Every day) |
| 1:30 PM | The PlayBook Checkup Meeting (Tuesdays and Thursdays) |
| 4:30PM | DNA Class Group Mentoring Session (Thursdays) |

On Demand Lessons

- Module 5: Trading Journal
 - Read: Reviewing Your Important Trades
 - Watch: Shark Review
 - Read: The Write Stuff
 - Read: Eliminate That Which Doesn't Work
 - Watch: Monthly Review by PTO
 - Read: Everyday You Must Master Your Craft
 - Read: The Weekend Review
 - Watch: The Trading Journal
 - Read: Stealing From Jimmer Fredetter
 - Read: End of the Year Review
 - Watch: Dr. Steenbarger on Trading Journal

- Module 6: Best Trades To Develop
 - Watch: Trend Trend
 - Watch: Second Day Play
 - Watch: High Beta Stock
 - Watch: Three Intraday Time Frames

Week Four

November 11th - 17th

Live SMB Meetings

- 8:50 AM SMB AM Meeting with Steve Spencer (Every day)
- 11:00 AM Trader Development Meeting (Every day)
- 1:30 PM The PlayBook Checkup Meeting (Tuesdays and Thursdays)
- 4:30PM DNA Class Group Mentoring Session (Thursdays)

On Demand Lessons

- Module 7: Technical Analysis
 - Read: Intraday Levels
 - Watch: Intraday Support and Resistance Levels
 - Read: Trends and Trading Ranges
 - Watch: Corey Rosenbloom at SMB
 - Read: Technical Analysis Part I
 - Watch: Principles of Technical Analysis
 - Read: The Four Basic Trades
 - Watch: Chart Reading 101
 - Read: Setting Up Your Charts (Part II)
 - Watch: Basic Chart Settings
 - Watch: Price Action
 - Read: Technical Analysis Part III
 - Watch: Technical Analysis with Brian Shannon
 - Watch: Price Action Analysis by Steve S.

- Module 8: Trading Psychology
 - Read: Visualization
 - Watch: A Lesson to Be Learned
 - Read: Visualization Lecture with Dr. Steenbarger
 - Watch: The Fixed vs. The Growth Mindset part I
 - Read: Lecture with Dr. Steenbarger Deep Breathing
 - Watch: The Fixed vs. The Growth Mindset part II
 - Read: Psychology of Trading
 - Watch: The Fixed vs. The Growth Mindset part III

Week Five

November 18th - 25th

Live SMB Meetings

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|----------|---|
| 8:50 AM | SMB AM Meeting with Steve Spencer (Every day) |
| 11:00 AM | Trader Development Meeting (Every day) |
| 1:30 PM | The PlayBook Checkup Meeting (Tuesdays and Thursdays) |
| 4:30PM | DNA Class Group Mentoring Session (Thursdays) |

On Demand Lessons

- Module 9: PlayBook Trades
 - Watch: Three-Day Growth Stock Swing Trade (\$TSLA) Video
 - Read: Three-Day Growth Stock Swing Trade (\$TSLA) PlayBook Entry
 - Watch: Three Trades, One Stock (TSLA) by Steven Spencer
- Bonus 1: Ten Lessons From The Trading Desk
 - Watch: SMBU Premium: Ten Lessons From The Trading Desk - December 3rd 2015

Description of Tools and Meetings

The SMB Scanner integrates data from the Benzinga Professional real time news feed, real time quotes, earnings calendars, webcasts and a stock trading characteristics database. This is your shortcut to the best opportunities to follow throughout the day.

The SMB Radar is a tool that we use after the open to make sure we are in the best stocks based on a series of algorithms that help you see what is in play, weak, strong, and trending.

The Real Time Audio and Chat is hosted on SMB's custom built Virtual Trading Floor. Traders on the desk and from around the world log in each day to listen to the desk audio and watch the live positions that SMB's top equity traders are in.

The AM Meeting is hosted by Steve Spencer each day before the market opens. In this meeting you receive a summary from countless hours of research that members of the desk prepare from the night before and earlier that morning. Get the stocks and levels that we will be watching for the rest of the day.

The Midday Recap is a mentoring session broadcast live from the conference room at SMB Capital. You can listen in as traders review their morning and discuss what they will be watching into the close.

Playbook Checkup Presentations are given in a daily meeting at SMB where junior traders dive deep into the analyzing their trades. These presentations were developed as a best practice after seeing a high correlation between traders with excellent performance and frequent preparation of Playbook Trade Presentations.

These Guys Are Good Presentations offer you an opportunity to see how our best traders are navigating the market. This is your chance to absorb the mindset of these first-in-class traders.